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Report on the activities of the Office of Internal Oversight Services

Report on the activities of the Office of Internal Oversight Services for the period from 1 July 2011 to 30 June 2012

Addendum

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* A/67/150.

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Abbreviations and acronyms

BINUB	United Nations Integrated Office in Burundi
CTED	Counter-Terrorism Committee Executive Directorate
DESA	Department of Economic and Social Affairs
DFS	Department of Field Support
DGACM	Department for General Assembly and Conference Management
DM	Department of Management
DPA	Department of Political Affairs
DPI	Department of Public Information
DPKO	Department of Peacekeeping Operations
DSG	Office of the Deputy Secretary-General
DSS	Department of Safety and Security
ECA	Economic Commission for Africa
ECE	Economic Commission for Europe
ECLAC	Economic Commission for Latin America and the Caribbean
EOSG	Executive Office of the Secretary-General
ESCAP	Economic and Social Commission for Asia and the Pacific
ESCWA	Economic and Social Commission for Western Asia
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Tribunal for the Former Yugoslavia
ISDR	International Strategy for Disaster Reduction
MINURCAT	United Nations Mission in the Central African Republic and Chad
MINURSO	United Nations Mission for the Referendum in Western Sahara
MINUSTAH	United Nations Stabilization Mission in Haiti
MONUC/MONUSCO	United Nations Organization Mission in the Democratic Republic of the Congo/United Nations Organization Stabilization Mission in the Democratic Republic of the Congo
OCHA	Office for the Coordination of Humanitarian Affairs
OHCHR	Office of the United Nations High Commissioner for Human Rights

OHRLLS	Office of the High Representative for the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States
OICT	Office of Information and Communications Technology
OIOS	Office of Internal Oversight Services
OLA	Office of Legal Affairs
OOSA	Office for Outer Space Affairs
OPPBA	Office of Programme Planning, Budget and Accounts
OSAA	Office of the Special Adviser on Africa
UNAMA	United Nations Assistance Mission in Afghanistan
UNAMI	United Nations Assistance Mission for Iraq
UNAMID	African Union-United Nations Hybrid Operation in Darfur
UNCC	United Nations Compensation Commission
UNCCD	United Nations Convention to Combat Desertification
UNCTAD	United Nations Conference on Trade and Development
UNDEF	United Nations Democracy Fund
UNDOF	United Nations Disengagement Observer Force
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UNFICYP	United Nations Peacekeeping Force in Cyprus
UNFIP	United Nations Fund for International Partnerships
UN-Habitat	United Nations Human Settlements Programme
UNHCR	Office of the United Nations High Commissioner for Refugees
UNICRI	United Nations Interregional Crime and Justice Research Institute
UNIDIR	United Nations Institute for Disarmament Research
UNIFIL	United Nations Interim Force in Lebanon
UNIOGBIS	United Nations Integrated Peacebuilding Office in Guinea-Bissau
UNIPSIL	United Nations Integrated Peacebuilding Office in Sierra Leone
UNJSPF	United Nations Joint Staff Pension Fund
UNJSPF-IMD	United Nations Joint Staff Pension Fund-Investment Management Division
UNMIK	United Nations Interim Administration Mission in Kosovo

UNMIL	United Nations Mission in Liberia
UNMIS	United Nations Mission in the Sudan
UNMISS	United Nations Mission in the Republic of South Sudan
UNMIT	United Nations Integrated Mission in Timor-Leste
UNMOGIP	United Nations Military Observer Group in India and Pakistan
UNOCI	United Nations Operation in Côte d'Ivoire
UNODC	United Nations Office on Drugs and Crime
UNOG	United Nations Office at Geneva
UNON	United Nations Office at Nairobi
UNOPS	United Nations Office for Project Services
UNOV	United Nations Office at Vienna
UNPOS	United Nations Political Office for Somalia
UNRWA	United Nations Relief and Works Agency for Palestine Refugees in the Near East
UNSOA	United Nations Support Office for the African Union Mission in Somalia

I. Introduction

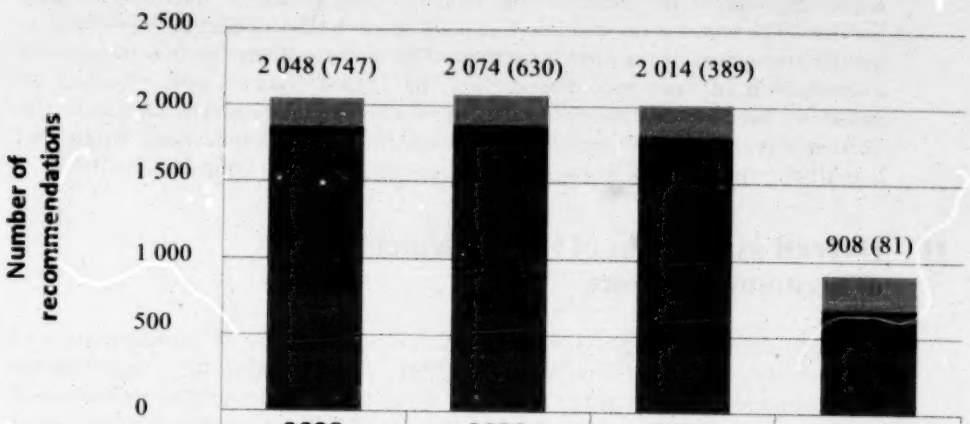
1. The present addendum provides information about the status of implementation of recommendations issued by the Office of Internal Oversight Services. The report is divided into five main sections (II-VI). Section II provides an overall assessment of the implementation of recommendations. Section III provides a breakdown of open recommendations, by United Nations entity. Section IV includes a list of critical recommendations of which the implementation is past due. Section V includes OIOS recommendations with financial implications. Section VI lists all oversight reports issued by OIOS between 1 July 2011 and 30 June 2012.

II. Overall assessment of the implementation of recommendations

2. The figure below provides a breakdown of the status of implementation of recommendations issued from 1 January 2008 to 31 December 2011, including the implementation rate for each of the calendar years in that period.[1] During the period from 1 January to 31 December 2011, OIOS issued 908 recommendations to United Nations entities. Of these, 81 (9 per cent) were deemed critical. As at 31 December 2011, programme managers had implemented 403 (59 per cent) of all the recommendations issued during the calendar year, including 56 (77 per cent) of the critical recommendations issued during 2011.

[1] The most recent follow-up of important recommendations was carried out in January 2012 for recommendations issued as at 31 December 2011 in accordance with the new follow-up schedule established in 2011. Critical recommendations due for implementation are followed up on a quarterly basis, therefore their status is as at 30 June 2012 for recommendations issued up to the end of December 2011. The information given in the figure under para. 2 is provided by calendar year to ensure compatibility with past calendar years and to ensure consistency with the performance data used in the senior managers' compacts.

Breakdown of status of recommendations issued from 1 January 2008 to 31 December 2011 as at 30 January 2012, and implementation rates[a]



	2008	2009	2010	2011
Closed	192 (75)	199 (53)	211 (19)	225 (7)
Pending	51 (6)	133 (10)	304 (23)	282 (20)
Implemented	1 805 (266)	1 742 (567)	1 499 (347)	403 (56)

[a] Critical recommendations and the corresponding implementation rates are given in parentheses. A recommendation may be "closed" when, inter alia, it is overtaken by events or when management accepts responsibility for residual risk arising from the non-implementation of the recommendation. In addition, "closed" recommendations include those that may have been reclassified as "opportunities for improvement" as a result of OIOS review of all outstanding recommendations. It is to be noted that the implementation rates of all recommendations and of critical recommendations are based on the total number of outstanding recommendations divided by the number of recommendations issued, excluding those that have been closed.

III. Analysis of open recommendations

3. Table 1 provides information about the status of all outstanding recommendations issued up to the end of 30 June 2012 for each United Nations department/office. Of these 907 recommendations there were 67 critical recommendations outstanding (7 per cent), the implementation of 18 of which was past due (i.e., the target date had been missed) and of 49 was not yet due. Specific information on critical recommendations whose implementation is overdue, including the management's response, is provided in section IV.

Table 1

Breakdown of open recommendations by important and critical status, and implementation schedule for all outstanding critical recommendations issued up to 30 June 2012

	Outstanding recommendations			*Critical recommendation implementation schedule*			
	Total	*Important*	*Critical*	*3rd quarter 2012*	*4th quarter 2012*	*2013*	*Past due*
BINUB	2	2	–				
CTED	1	1	–				
DESA	25	22	3		3		
DFS	38	35	3		1	1	1
DGACM	2	2	–				
DM	83	74	9		5	1	3
DPA	20	19	1				1
DPI	7	7	–				
DPKO	20	17	3		2		1
DSS	9	9	–				
ECA	6	5	1				1
ECE	4	4	–				
ECLAC	7	6	1				1
EOSG	10	8	2		2		
ESCAP	2	2	–				
ESCWA	1	1	–				
Ethics Office	2	1	1			1	
ICTR	6	5	1				1
ICTY	4	4	–				
ISDR	4	4	–				
MINUSTAH	30	29	1		1		
MONUC	21	19	2		2		
MONUSCO	5	5	–				
OCHA	24	21	3				3
OHCHR	14	12	2		2		
OHRLLS	2	2	–				
OICT	9	9	–				
OLA	3	3	–				
OOSA	4	4	–				
OSAA	12	10	2		2		
UNAMA	13	13	–				
UNAMI	17	16	1				1
UNAMID	17	13	4		4		
UNCCD	4	4	–				

	Outstanding recommendations			*Critical recommendation implementation schedule*			
	Total	*Important*	*Critical*	*3rd quarter 2012*	*4th quarter 2012*	*2013*	*Past due*
UNCTAD	6	6	–				
UNDEF	3	3	–				
UNDOF	8	8	–				
UNEP	17	15	2		1		1
UNFCCC	21	20	1				1
UNFICYP	2	2	–				
UNFIP	4	3	1		1		
UN-Habitat	33	32	1		1		
UNHCR	176	171	5		5		
UNICRI	6	6	–				
UNIDIR	4	4	–				
UNIFIL	5	5	–				
UNJSPF	15	15	–				
UNJSPF-IMD	22	21	1		1		
UNMIL	50	46	4	1	1		2
UNMIS	7	5	2		2		
UNMISS	1	1	–				
UNMIT	10	9	1		1		
UNMOGIP	5	4	1				1
UNOCI	18	17	1		1		
UNODC	13	13	–				
UNOG	6	3	3		3		
UNON	38	37	1		1		
UNOV	1	1	–				
UNRWA	3	2	1		1		
UNSOA	5	3	2		2		
Total outstanding	**907**	**840**	**67**	**1**	**45**	**3**	**18**

IV. Critical recommendations the implementation of which is past due

4. Table 2 provides details of critical recommendations the implementation of which is overdue, together with the management's latest update on the progress made thus far. OIOS acknowledges the progress being made by departments/offices in implementing these recommendations. OIOS is also working closely with the Management Committee to ensure their full and expeditious implementation.

Table 2
Critical recommendations the implementation of which is past due

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
DFS	Audit of the Trust Fund for the support of the activities of MINURCAT (AP2010/636/11)	(4) The Department of Field Support, in consultation with the Office of Programme Planning, Budget and Accounts, taking into account the circumstances that led to control failures in implementing, monitoring and reporting on the Trust Fund for MINURCAT, should: (a) clarify the roles and responsibilities of the Controller, the Department of Field Support and missions for trust funds pertaining to field missions; (b) ensure that the terms of reference for trust funds reflect the clarified roles and responsibilities; and (c) implement adequate monitoring for compliance with the policy provisions. *Client response June 2012: Implementation of the recommendation is in progress. The internal review of Department of Field Support trust fund management by the Field Budget and Finance Division is now complete and a series of recommendations is to be submitted to the Controller's office by the end of July 2012. Among the recommendations being put forward are the affirmation of roles and responsibilities, revision of the terms of reference and workflow redesign. In the area of asset management and disposal, internal discussions are still ongoing.*	13 June 2011	31 March 2012	31 March 2013
DM	Audit of procurement activities at UNON	(1) The Department of Management should amend the delegation of authority of the Director, Division of Administrative Services, to include	19 October 2010	30 June 2012	31 December 2012

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
	(AA2009/211/02)	procurement for UNEP and UN-Habitat funded from the regular budget. *Client response July 2012: In April 2012, the Assistant Secretary-General, Office of Central Support Services led an Independent review team from the Department of Management to review the administrative structure of UNON, UNEP and UN-Habitat. The mandate of the review team included review of the governance structure for the procurement function and the team's recommendations are being considered by all parties for their possible implementation.*			
DM	Enterprise resource planning project at the United Nations Secretariat (AT2009/510/02)	(1) The enterprise resource planning team should update and complete the programme charter, with particular regard to: (a) project approach; (b) strategy on deployment; (c) change management; and (d) integration approach. *Client response July 2012: The charter in the revised format (modular) is planned to be completed by 31 December 2012. As such it is a living document that will continue to change.* *Umoja will put in place procedures that assign responsibility for certain chapters of the charter and establish the frequency for update, e.g. quarterly, yearly.*	23 August 2010	30 June 2012	31 December 2012
DM-OPPBA	Audit of the Trust Fund for the support of the activities of MINURCAT	(2) The Office of Programme Planning, Budget and Accounts should issue appropriate guidance to implementing offices on the recording of expenditures against the respective donors'	13 June 2011	31 March 2012	30 September 2012

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
	(AP2010/636/11)	contributions for trust funds involving multiple donors and earmarks, so that expenditures and unspent balances can be monitored with reference to the donor agreements. *Client response July 2012: OPPBA has made further improvements to the guidance provided in the Finance and Budget Manual on: (a) recording of expenditures against the respective donors' contributions involving multiple donors and earmarks; (b) clarification of the roles and responsibilities of OPPBA, DFS and field missions. The target for issuance is the end of the third quarter (30 September 2012) and a copy will be forwarded to OIOS as soon as the manual has been finalized.*			
DM	Results-based management at the United Nations has been an administrative chore of little value to accountability and decision-making (A/63/268)	(6) In order to strengthen the technical and methodological capacities of the Organization, OIOS recommends that the Secretary-General: (a) prepare and submit for the consideration of the General Assembly a request for additional resource requirements that comprehensively addresses the respective requirements of: (i) central results-based management coordination; (ii) department-level results planning and self-evaluation; and (iii) independent inspection and evaluation; and (b) promulgates the United Nations Evaluation Group norms and standards as the overarching framework of organizational evaluation practice (paras. 39-41 and 43). *Client response August 2012: As stated in the Secretary-General's report on progress towards an*	30 September 2008	30 June 2012	30 June 2013

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
		accountability system in the United Nations Secretariat (A/66/692), the Secretary-General wished "to fully harmonize and integrate his results-based management proposals with other accountability and performance-related initiatives resulting from the change management exercise". Since further progress is expected over the coming months, including through an examination of the experience of other organizations that have introduced results-based management, it was considered premature to report on those efforts at the time of that report. Accordingly the elaboration of the proposed results-based management framework is still pending.			
DPA	Audit of the United Nations Integrated Peacebuilding Office in Sierra Leone (UNIPSIL) (AP2011/560/02)	(1) UNIPSIL, with the assistance of the Departments of Political Affairs and Field Support, should review the staffing arrangements in UNIPSIL with the aim of developing optimal staffing requirements and mitigating risks of lack of accountability due to a number of core functions being carried out by non-United Nations personnel. *Client response May 2012: Implementation is in progress.* *To address and standardize mission staffing needs, the mission is working on including these identified critical needs in the 2013-2014 budget.*	23 January 2012	30 June 2012	

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
DPKO	Integrated operational teams in DPKO (AP2009/600/03)	(8) DPKO should ensure that the mission concepts combine all components' plans and are adequately developed for all missions. The practice of substituting the mission concepts with the Secretary-General's report to the Security Council should be discontinued. *Client response July 2012: Implementation of the recommendation is in progress. The mission concept for UNMISS (South Sudan) was developed by the Mission and submitted to United Nations Headquarters (DPKO/DFS) on 27 June 2012. On the basis of the draft guidance developed in 2011 and in line with the ongoing revision of the integrated missions planning guidelines, consultations to finalize the guidance on mission concepts are taking place within DPKO/DFS and with key partners, such as DPA.*	16 November 2010	30 June 2012	30 September 2013
ECA	Thematic evaluation of gender mainstreaming in the United Nations Secretariat (A/65/266)	(ECA_002) Each Secretariat programme covered by this evaluation should reinforce its commitment to gender mainstreaming and strengthen its results focus by: (a) ensuring that it has developed and disseminated a programme-level gender mainstreaming action plan that is consistent with its strategic framework and contains desired outcomes and indicators for each subprogramme. *Client response April 2012: Capacity-building in gender mainstreaming for staff in divisions in 2012 includes targeted, relevant and needs-based training for staff and the provision of a basic toolkit for staff to utilize in their daily work.*	8 September 2010	30 March 2012	

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
ICTR	Information and communications technology governance and security management at the International Criminal Tribunal for Rwanda (AT2010/260/01)	(10) ICTR should complete its disaster recovery and business continuity processes and procedures by: (a) documenting and implementing a backup strategy; (b) implementing a disaster recovery hot site and performing regular disaster recovery and business continuity tests; and (c) moving backup tape storage offsite to ensure effective disaster recovery. *Client response June 2012: Continuous improvement is ongoing pertaining to the disaster recovery and business continuity processes of ICTR as follows: comprehensive review of existing information technology (IT) disaster recovery infrastructure, including a gap analysis of existing IT disaster recovery/ business continuity capabilities against business requirements for continuity so that IT capabilities can match business requirements (anticipated completion end of July, 2012); simulated tests of existing disaster recovery capabilities (anticipated completion end of August, 2012); catalogue improvement and expansion of the backup data tape storage facility to also include Court Management Services digitization data (anticipated completion end of August, 2012). It is maintained that the cost of establishing an external dedicated "hot site" is not justifiable in the light of the completion strategy.*	25 April 2011	30 June 2012	31 August 2012

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
OCHA	Audit of OCHA management of the Haiti Emergency Relief Response Fund (AN2011/590/02)	(10) OCHA should outline the programmatic role, responsibility and accountability of the Director of the Coordination and Response Division in the Emergency Response Fund policy document and institute a formal reporting requirement on Fund activities by the Humanitarian Coordinator and the head of the country office to the Director of the Coordination and Response Division. *Client response July 2012: the Funding Coordination Section and the Coordination and Response Division are currently working on the definition of clear roles and responsibilities of the Director of the Coordination and Response Division, which will be integrated into the final draft of the guidelines to be submitted for senior management approval by September 2012.*	2 May 2012	30 June 2012	30 September 2012
OCHA	Audit of OCHA management of the Haiti Emergency Relief Response Fund (AN2011/590/02)	(2) OCHA should issue the Emergency Response Fund policy framework establishing adequate processes for the establishment and management of country-based funds. *Client response July 2012: The guidelines will be submitted for approval by OCHA senior management in September 2012.*	2 May 2012	30 June 2012	30 September 2012
OCHA	Audit of OCHA management of the Haiti Emergency Relief and Response Fund (AN2011/590/02)	(9) OCHA Haiti should: (a) require the International Organization for Migration (IOM) to report on the use of funds against the project activities for which the grants were approved; and (b) conduct physical inspections of projects funded from the Emergency	2 May 2012	31 May 2012	31 August 2012

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
		Relief and Response Fund that are implemented by IOM, as necessary. *Client response July 2012: IOM has submitted the narrative and financial reports to OCHA Haiti. The financial report will be submitted by the Fund manager to the OCHA Administrative Office in Geneva on 5 July 2012 for review and clearance.*			
UNAMI	Business continuity management in UNAMI (AP2010/812/04)	(1) The UNAMI management should develop a mission-specific policy and standard operating procedures for business continuity management planning that also clarifies responsibility for developing and maintaining the plan. *Client response June 2012: There were some technical hitches with the production of the final version of the plan which are currently being addressed. We expect this will be resolved by 31 July 2012.*	17 May 2011	30 June 2012	31 July 2012
UNEP	Audit of the financial performance of the UNEP Mediterranean Action Plan (AA2010/220/05)	(6) The Executive Director of UNEP should determine the accountability of UNEP staff for the creation of inappropriate allotments to fund MAP activities and for inability to detect the inappropriateness of allotments. *Client response August 2012: The panel, as well as the consultant, is at present undertaking a review to determine possible negligence by any of the actors concerned. The same panel is also reviewing action required on recommendation No. 3, due for December 2012.*	6 September 2011	31 March 2012	31 December 2012

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
UNFCCC	Information and communication technology governance and security management at the United Nations Framework Convention on Climate Change (AT2008/241/01)	(19) UNFCCC should: (19) Formalize its business continuity policy and strategy. *Client response June 2012: Implemented for specific critical IT business continuity plan observations. In progress for policy. An assessment of the business continuity plan was done by a contractor for the UNFCCC secretariat in 2006, with specific observations and specific recommendations. A number of these recommendations have been implemented and are put into practice regularly during deployments. The mitigation strategy includes the use of data centre outsourcing, the use of laptops with a virtual private network (VPN) capability to allow staff to work away from the office and the deployment of transportable IT systems duplicating headquarters capabilities for conferences, which also serve as capability for emergency continuity. The IT strategic plan was tabled at the Information Technology Management Committee in November 2011 but is currently being rewritten for retabling by the end of August 2012. Given the time that has elapsed since this initial business continuity plan review, the Information Technology Services will review the various documents and finalize the revised IT business continuity policy by the end of 2012.*	4 November 2009	30 June 2012	31 December 2012
UNMIL	Audit of UNMIL transport services (AP2011/626/07)	(1) UNMIL should review the Transport Section's operations and implement measures to improve its performance, including vehicle repair and maintenance	2 May 2012	30 June 2012	

Entity	Audit title	Recommendation	Report date	Target date	New estimated completion date
		scheduling and reduction in the time taken to complete general maintenance. *Client response May 2012: UNMIL accepted the recommendation and noted that the Chief Transport Officer would review the Section's operations to improve overall performance within the next six months. An action plan would be drawn up of measures to be taken, including on scheduling vehicle repair/maintenance, the time taken to complete general maintenance, and the inspection process.*			
UNMIL	Audit of UNMIL transport services (AP2011/626/07)	(9) UNMIL should conduct a complete physical verification of vehicles, and follow up and investigate those that cannot be found. Once the exercise is complete, procedures should be initiated to write off all vehicles that cannot be accounted for. *Client response May 2012: UNMIL has accepted the recommendation and noted that the Transport Section would establish procedures to physically verify vehicles every four months to prevent the reoccurrence of vehicles being unaccounted for. A physical inventory of vehicles is in progress and is expected to be completed by the end of May 2012 and any vehicles that cannot be accounted for will be investigated and thereafter written off, after approval.*	2 May 2012	31 May 2012	

Entity	*Audit title*	*Recommendation*	*Report date*	*Target date*	*New estimated completion date*
UNMOGIP	The United Nations Military Observer Group in India and Pakistan (UNMOGIP) (AP2010/600/02)	(4) UNMOGIP, in coordination with DFS, should assess whether the continued use of the building for the UNMOGIP headquarters in Srinagar is appropriate and safe, and take action to ensure the safety of personnel. *Client response June 2012: Implementation is in progress. UNMOGIP is awaiting the final draft of the residual fire risk assessment by a consultant. The report is due on 15 July 2012.*	29 December 2010	31 March 2012	31 December 2012

V. Financial implications of recommendations of the Office of Internal Oversight Services

5. Table 3 provides a summary of OIOS recommendations with financial implications. OIOS classifies financial implications into several categories, including loss and waste of resources, recovery, expenditure reduction, additional income and budget reduction.

6. During the reporting period, OIOS identified $4.7 million in recoveries and expenditure reduction, of which $2.5 million were realized during that period.

Table 3
Financial implications of OIOS recommendations, 1 July 2011 to 30 June 2012
(United States dollars)

Entity	*Assignment number*	*Description*	*Estimated amount*	*Realized amount*
UNEP	AA2010/220/05	Reduction of expenditure	4 500 000	2 300 000
DM	AP2010/615/04	Recovery of payments due to incorrect use of mission subsistence allowance	212 000	223 882
DPI	0278/11	Recovery of embezzled funds	865	–
Total			**4 712 865**	**2 523 882**

VI. Reports issued during the reporting period

7. During the 12-month period from 1 July 2011 to 30 June 2012, OIOS issued 284 oversight reports, including 13 reports to the General Assembly and 46 closure reports. Table 4 provides lists of all the reports issued during the reporting period.

8. Pursuant to paragraph 1 (c) of General Assembly resolution 59/272, Member States have access to OIOS reports upon request.

Table 4
Reports issued during the period from 1 July 2011 to 30 June 2012

A. Reports to the General Assembly

Symbol	*Date*	*Subject*
E/AC.51/2012/4	2 April 2012	Triennial review of the implementation of recommendations made by the Committee for Programme and Coordination at its forty-ninth session on the evaluation of United Nations support for least developed countries, landlocked developing countries, small island developing States and Africa

Symbol	*Date*	*Subject*
E/AC.51/2012/3	2 April 2012	Triennial review of the implementation of recommendations made by the Committee for Programme and Coordination at its forty-ninth session on the thematic evaluation of lessons learned: protocols and practices
E/AC.51/2012/2	2 April 2012	Triennial review of the implementation of recommendations made by the Committee for Programme and Coordination at its forty-ninth session on the thematic evaluation of United Nations coordinating bodies
A/66/755	28 March 2012	Comprehensive report on the implementation of the pilot project designated by the General Assembly in resolution 63/287
A/66/286 (Part II)	13 March 2012	Report of the Office of Internal Oversight Services on peacekeeping operations
A/66/741	12 March 2012	Programme evaluation of performance and achievement of results: United Nations peacekeeping activities in the Democratic Republic of the Congo
A/66/740	12 March 2012	Programme evaluation of the United Nations Stabilization Mission in Haiti
A/66/714	27 February 2012	Audit of the implementation of the global field support strategy
A/66/674	30 January 2012	Proposal on the dissemination and distribution of internal audit reports
A/66/286 (Part I)	9 August 2011	Activities of the Office of Internal Oversight Services for the period from 1 July 2010 to 30 June 2011
A/66/286 (Part I)/ Add.1	15 August 2011	Activities of the Office of Internal Oversight Services for the period from 1 July 2010 to 30 June 2011, Addendum
A/66/180	25 July 2011	Review of the organizational framework of the public information function of the Secretariat
A/66/179	25 July 2011	Audit of capital master plan procurement and contract management, including change orders

B. Reports to programme managers issued by the Internal Audit Division

Entity	*Assignment number*	*Issue date*	*Report subject*	*Report rating*	*Number of recommendations*	
					Critical	*Important*
CMP-DM	AC2011/514/01	11 July 2011	Audit of capital master plan procurement and contract management, including change orders	NA	–	8
	AC2010/514/04	11 August 2011	Audit of capital master plan project budgeting, financial reporting and payments	NA	–	5
DESA	AH2011/540/01	3 November 2011	Audit of Department of Economic and Social Affairs Global Centre for Information and Communications Technology in Parliament Project INT05X73	Partially satisfactory	–	3
DFS	AP2010/615/04	27 July 2011	Audit of selected United Nations human resources management reform initiatives in field missions	NA	2	5
	AP2011/615/01	30 December 2011	Audit of the Base Support Service of the United Nations Logistics Base at Brindisi	Partially satisfactory	–	3
	AP2011/615/02	21 October 2011	Audit of staffing table and post management	Partially satisfactory	–	5
	AP2011/615/03	2 May 2012	Audit of the Transportation and Movement Services in the Department of Field Support	Partially satisfactory	–	6
	AP2011/615/07	20 October 2011	Audit of aviation safety oversight of field missions by the Department of Field Support	Partially satisfactory	–	4
	AT2011/615/01	7 December 2011	Audit of information and communications technology governance and security management in peacekeeping missions	Partially satisfactory	–	4
DGACM	AH2011/550/01	9 February 2012	Audit of the management of selected major conferences — 2010 Millennium Development Goals Summit	Partially satisfactory	–	1
DM	AH2011/510/01	4 June 2012	Audit of the Headquarters Committee on Contracts	Satisfactory	–	–

Entity	*Assignment number*	*Issue date*	*Report subject*	*Report rating*	*Number of recommendations*	
					Critical	*Important*
	AH2011/512/05	15 June 2012	Audit of the use of consultants in the Secretariat	Partially satisfactory	–	1
	AT2011/510/02	20 April 2012	Audit of human resources management in the Umoja project	Unsatisfactory	3	3
DM-OCSS	AH2011/513/01	20 March 2012	Audit of United Nations Archives and Records Management	Partially satisfactory	–	2
	AH2011/513/02	9 February 2012	Audit of business continuity in the United Nations Secretariat	Partially satisfactory	–	4
	AH2011/513/03	7 May 2012	Audit of the non-expendable property at Headquarters	Unsatisfactory	4	2
	AH2011/513/04	21 June 2012	Audit of the United Nations Postal Administration	Partially satisfactory	–	8
DM-OHRM	AH2011/512/03	15 November 2011	Audit of medical services at Headquarters	Satisfactory	–	–
	AT2010/512/02	25 August 2011	Audit of payroll processing at the United Nations Secretariat in New York	Partially satisfactory	–	2
DM-OPPBA	AH2011/511/01	28 October 2011	Audit of arrangements for global banking and investment management in the Office of Programme Planning, Budget and Accounts	Satisfactory	–	–
DPA	AP2011/560/01	2 September 2011	Audit of Department of Political Affairs substantive and administrative support to special envoys	Satisfactory	–	–
	AP2011/560/02	23 January 2012	Audit of UNIPSIL	Unsatisfactory	2	5
	AP2011/560/03	2 May 2012	Audit of the Electoral Assistance Division in the Department of Political Affairs	Partially satisfactory	–	7
DPKO	AP2011/600/02	20 March 2012	Audit of disarmament, demobilization and reintegration operations	Satisfactory	–	–

Entity	Assignment number	Issue date	Report subject	Report rating	Number of recommendations	
					Critical	Important
	AP2011/600/03	3 May 2012	Audit of the programme performance management in the Department of Peacekeeping Operations	Partially satisfactory	–	4
ECA	AC2011/710/01	9 February 2012	Audit of the construction of additional office facilities at ECA	Partially satisfactory	–	11
	AN2011/710/01	29 August 2011	Audit of selected conferences at ECA	Partially satisfactory	–	5
	AN2011/710/02	8 February 2012	Audit of security and safety at ECA	Partially satisfactory	–	4
ECE	AN2011/720/01	11 June 2012	Comprehensive audit of ECE	Partially satisfactory	–	3
ICTR	AA2011/260/02	29 December 2011	Audit of archiving and records management at the International Criminal Tribunal for Rwanda	Partially satisfactory	–	3
ICTY	AA2011/270/01	1 November 2011	Audit of adequacy of arrangements for closing the International Criminal Tribunal for the Former Yugoslavia field offices in Belgrade, Zagreb and Sarajevo	Partially satisfactory	–	3
	AA2011/270/03	18 May 2012	Audit of the management of the legal aid assistance programme at the International Criminal Tribunal for the Former Yugoslavia	Satisfactory	–	–
	AA2011/270/06	29 December 2011	Audit of archiving and records management at the International Criminal Tribunal for the Former Yugoslavia	Partially satisfactory	–	1
MINUSTAH	AP2011/683/01	30 September 2011	Audit of contracts management in MINUSTAH	Partially satisfactory	–	3
	AP2011/683/03	20 October 2011	Audit of management of engineering projects in MINUSTAH	Partially satisfactory	1	3
	AP2011/683/07	21 March 2012	Audit of MINUSTAH Santo Domingo Support Office	Partially satisfactory	–	5

Entity	*Assignment number*	*Issue date*	*Report subject*	*Report rating*	*Number of recommendations*	
					Critical	*Important*
	AP2011/683/09	25 August 2011	Follow-up audit of aviation safety in MINUSTAH	Partially satisfactory	–	2
	AP2011/683/10	17 May 2012	Audit of Haitian National Police development programme in MINUSTAH	Partially satisfactory	–	7
MONUSCO	AP2011/620/01	20 October 2011	Audit of civil affairs programme in MONUSCO	Partially satisfactory	–	3
	AP2011/620/02	14 September 2011	Audit of contingent-owned equipment in MONUSCO	Unsatisfactory	–	6
	AP2011/620/03	27 October 2011	Audit of electoral support in MONUSCO	Unsatisfactory	3	–
	AP2011/620/04	2 December 2011	Audit of rations management in MONUSCO	Partially satisfactory	1	1
	AP2011/620/05	2 May 2012	Audit of public information activities in MONUSCO	Partially satisfactory	–	2
	AP2011/620/07	18 January 2012	Audit of safety and security in MONUSCO	Unsatisfactory	1	4
	AP2011/620/08	6 December 2011	Audit of quick-impact projects in MONUSCO	Partially satisfactory	–	3
	AP2011/620/12	8 June 2012	Audit of ground transport services in MONUSCO	Partially satisfactory	–	4
	AP2011/620/13	3 May 2012	Audit of the HIV/AIDS programme in MONUSCO	Unsatisfactory	2	1
OCHA	AN2011/590/01	26 July 2011	Audit of the Office for the Coordination of Humanitarian Affairs office in Zimbabwe	Satisfactory	–	–
	AN2011/590/02	2 May 2012	Audit of the Office for the Coordination of Humanitarian Affairs management of the Haiti Emergency Relief and Response Fund	Unsatisfactory	5	6
	AN2011/590/03	2 May 2012	Audit of the Office for the Coordination of Humanitarian Affairs Regional Office for Latin America and the Caribbean	Partially satisfactory	–	4

Entity	Assignment number	Issue date	Report subject	Report rating	Number of recommendations	
					Critical	Important
ODA	AN2011/530/01	23 December 2011	Audit of Office for Disarmament Affairs-Conventional Arms Branch	Satisfactory	–	–
OHCHR	AE2011/336/01	13 October 2011	Audit of the OHCHR Regional Office for Southern Africa	Partially satisfactory	–	3
OLA	AH2010/521/01	26 July 2011	Audit of the process for resolving procurement-related disputes referred to the Office of Legal Affairs	NA	–	–
	AT2010/521/01	28 October 2011	Audit of Internet publishing and use of social media at the United Nations Secretariat	Unsatisfactory	1	4
OSAA	AN2011/840/01	25 August 2011	Audit of the coordination of global advocacy and support for the NEPAD subprogramme	Unsatisfactory	1	3
UNAMA	AP2011/630/03	8 June 2012	Audit of air operations in UNAMA	Partially satisfactory	–	4
	AP2011/630/04	20 December 2011	Audit of public information programme in UNAMA	Partially satisfactory	–	3
	AP2011/630/06	24 August 2011	Audit of aviation safety in UNAMA	Partially satisfactory	–	2
UNAMI	AP2011/812/01	27 October 2011	Audit of ground transport services in UNAMI	Satisfactory	–	–
	AP2011/812/02	11 June 2012	Audit of security transition planning in UNAMI	Partially satisfactory	–	1
	AP2011/812/04	16 May 2012	Audit of the human rights programme in UNAMI	Partially satisfactory	–	4
	AP2011/812/06	24 October 2011	Follow-up audit on aviation safety in UNAMI	Partially satisfactory	–	4
UNAMID	AP2010/634/09	10 February 2012	Audit of procurement systems and procedures in UNAMID	Unsatisfactory	2	5
	AP2011/634/01	16 November 2011	Audit of the recruitment of national staff in UNAMID	Unsatisfactory	–	3

Entity	Assignment number	Issue date	Report subject	Report rating	Number of recommendations	
					Critical	Important
	AP2011/634/05	2 May 2012	Audit of quick-impact project in UNAMID	Unsatisfactory	1	2
	AP2011/634/13	15 June 2012	Audit of management of engineering activities in UNAMID	Unsatisfactory	2	2
	AP2011/634/14	2 May 2012	Audit of the renovation/construction of team sites in UNAMID	Partially satisfactory	–	1
	AP2011/634/18	13 December 2011	Audit of the administration of mission-provided staff accommodation in UNAMID	Unsatisfactory	2	2
	AP2011/634/19	18 November 2011	Audit of aviation safety in UNAMID	Unsatisfactory	2	2
UNCC	AE2011/820/01	12 September 2011	Audit of United Nations Compensation Commission claims payments 2010-11	Satisfactory	–	–
UNCTAD	AE2011/345/01	8 February 2012	Audit of UNCTAD arrangements to support quadrennial conferences, meetings and intergovernmental processes	Satisfactory	–	–
UNDOF	AP2011/670/01	22 July 2011	Audit of human resources management in UNDOF	NA	–	4
	AP2011/670/02	30 August 2011	Audit of fuel and food rations in UNDOF	NA	–	5
UNEP	AA2010/220/05	6 September 2011	Audit of the financial performance of the UNEP Mediterranean Action Plan	Unsatisfactory	3	6
	AA2011/220/04	26 April 2012	Audit of the secretariat of the Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal	Partially satisfactory	–	3
	AA2011/220/05	20 March 2012	Audit of the secretariat of the Convention on International Trade in Endangered Species of Wild Fauna and Flora	Partially satisfactory	–	3
UNFICYP	AP2011/654/01	26 March 2012	Audit of procurement activities in UNFICYP	Partially satisfactory	–	4
	AP2011/654/02	7 December 2011	Audit of security in UNFICYP	Partially satisfactory	–	4

Entity	Assignment number	Issue date	Report subject	Report rating	Number of recommendations: Critical	Number of recommendations: Important
	AP2011/654/03	2 September 2011	Audit of aviation safety in UNFICYP	Satisfactory	–	–
UN-Habitat	AA2011/250/02	29 December 2011	Audit of UN-Habitat programme in Palestine	Partially satisfactory	–	1
	AA2011/250/05	19 January 2012	Audit of UN-Habitat programme in Iraq	Partially satisfactory	–	1
UNHCR	AR2010/160/02	23 November 2011	Audit of UNHCR anti-fraud controls in registration, refugee status determination and resettlement	Partially satisfactory	–	3
	AR2011/111/01	15 December 2011	Audit of UNHCR regional representation for West Africa	Partially satisfactory	–	4
	AR2011/113/01	3 October 2011	Audit of UNHCR operations in Zambia	Partially satisfactory	–	2
	AR2011/115/01	22 May 2012	Audit of UNHCR operations in Chad	Partially satisfactory	–	3
	AR2011/121/01	25 August 2011	Audit of UNHCR operations in Azerbaijan	Partially satisfactory	–	5
	AR2011/162/01	27 July 2011	Audit of UNHCR human resources management functions outposted to the Global Service Centre in Budapest	Partially satisfactory	–	3
	AR2011/162/03	20 March 2012	Audit of UNHCR Global Learning Centre	Partially satisfactory	–	3
	AT2011/166/02	15 December 2011	Audit of arrangements for business continuity and disaster recovery for non-PeopleSoft Applications in UNHCR	Partially satisfactory	–	5
	AT2011/166/03	8 May 2012	Post-implementation audit of the performance appraisal management system in UNHCR	Partially satisfactory	–	3
UNIFIL	AP2010/672/04	22 July 2011	Audit of public information in UNIFIL	NA	–	4
	AP2011/672/01	13 September 2011	Audit of the management of contingent-owned equipment in UNIFIL	Satisfactory	–	–
	AP2011/672/03	15 May 2012	Audit of demining activities in UNIFIL	Partially satisfactory	–	3
	AP2011/672/08	1 September 2011	Audit of aviation safety in UNIFIL	Partially satisfactory	–	3

Entity	*Assignment number*	*Issue date*	*Report subject*	*Report rating*	*Number of recommendations*	
					Critical	*Important*
UNJSPF	AS2011/800/01	31 October 2011	Audit of United Nations Joint Staff Pension Fund Geneva operations	Satisfactory	–	–
	AS2011/800/02	9 February 2012	Audit of the United Nations Joint Staff Pension Fund member organization data-collection project	Partially satisfactory	–	6
UNJSPF-IMD	AS2011/801/01	26 January 2012	Audit of governance and oversight over the investments of the United Nations Joint Staff Pension Fund	Partially satisfactory	–	7
	AS2011/801/02	30 April 2012	Audit of the Investment Management Division's front office equities	Partially satisfactory	–	1
	AT2011/801/03	16 January 2012	Audit of business continuity and disaster recovery at the United Nations Joint Staff Pension Fund	Unsatisfactory	1	1
UNMIK	AP2010/650/03	20 October 2011	Audit of management of the UNMIK administration of Mitrovica and the role of municipal representatives in northern Kosovo	Partially satisfactory	–	2
	AP2011/650/01	20 March 2012	Audit of disposal of assets in UNMIK	Satisfactory	–	–
	AP2011/650/02	20 December 2011	Audit of management of confidential documents in UNMIK	Partially satisfactory	–	2
UNMIL	AG2011/626/01	28 October 2011	Follow-up audit of procurement of local contracts in UNMIL	Unsatisfactory	1	–
	AP2011/626/01	15 June 2012	Audit of civil affairs programme in UNMIL	Partially satisfactory	–	2
	AP2011/626/02	21 October 2011	Audit of electoral support provided by UNMIL	Partially satisfactory	–	–
	AP2011/626/03	6 December 2011	Audit of aviation safety and air operations in UNMIL	Partially satisfactory	–	4
	AP2011/626/04	15 November 2011	Audit of fuel management in UNMIL	Unsatisfactory	1	6

Entity	Assignment number	Issue date	Report subject	Report rating	Number of recommendations: Critical	Number of recommendations: Important
	AP2011/626/07	2 May 2012	Audit of transport services in UNMIL	Unsatisfactory	3	7
	AP2011/626/08	2 May 2012	Audit of UNMIL support to the security sector reform	Partially satisfactory	–	3
	AP2011/626/12	11 June 2012	Audit of quick-impact projects in UNMIL	Partially satisfactory	–	6
UNMIS	AP2011/632/01	25 August 2011	Audit of fuel management in UNMIS	Satisfactory	–	–
	AP2011/632/02	20 October 2011	Audit of contract management in UNMIS	Partially satisfactory	–	2
	AP2011/632/04	25 October 2011	Audit of public information programme in UNMIS	Partially satisfactory	–	–
	AP2011/632/15	25 July 2011	Audit of aviation safety in UNMIS	Satisfactory	–	–
	AP2011/632/16	1 November 2011	Audit of liquidation planning process in UNMIS	Satisfactory	–	–
	AP2011/632/18	1 May 2012	Audit of assets disposal during the liquidation of UNMIS	Partially satisfactory	–	1
	AP2011/632/19	1 November 2011	Audit of archiving and records management in UNMIS	Satisfactory	–	–
UNMIT	AP2011/682/01	20 March 2012	Audit of democratic governance and electoral support in UNMIT	Partially satisfactory	–	1
	AP2011/682/04	21 March 2012	Audit of fuel management in UNMIT	Partially satisfactory	–	4
	AP2011/682/05	1 September 2011	Audit of aviation safety in UNMIT	Partially satisfactory	1	3
UNOCI	AP2011/640/07	1 September 2011	Follow-up audit of aviation safety in UNOCI	Satisfactory	–	–
	AP2011/640/08	9 January 2012	Audit of the recruitment of national staff in UNOCI	Partially satisfactory	–	3
UNODC	AE2011/366/02	13 December 2011	Audit of United Nations Office on Drugs and Crime operations in Nigeria	Partially satisfactory	–	4

Entity	*Assignment number*	*Issue date*	*Report subject*	*Report rating*	*Number of recommendations*	
					Critical	*Important*
UNOG	AE2010/312/01	13 December 2011	Audit of conference services funding and costing at the United Nations Office at Geneva	Unsatisfactory	2	–
	AE2011/311/03	15 May 2012	Audit of United Nations Office at Geneva Human Resources Management Service	Partially satisfactory	–	4
UNON	AA2011/211/01	30 December 2011	Audit of safety and security at the United Nations Office at Nairobi	Partially satisfactory	–	4
	AC2010/211/01	3 November 2011	Audit of the construction of additional office facilities at the United Nations Office at Nairobi	NA	–	4
UNOV	AE2011/321/01	27 January 2012	Audit of the United Nations Office at Vienna contracts for furniture supplies	Satisfactory	–	–
UNSOA	AP2011/638/01	14 September 2011	Audit of UNSOA training programme in support of the African Union Mission in Somalia	Satisfactory	–	–
	AP2011/638/02	30 September 2011	Audit of operations at the Mombasa support base	Satisfactory	–	–
	AP2011/638/03	8 June 2012	Audit of safety and security in UNSOA	Unsatisfactory	3	–
	AP2011/638/04	1 May 2012	Audit of procurement activities in UNSOA	Partially satisfactory	–	3
	AP2011/638/06	3 May 2012	Audit of the portfolio of evidence to support the results-based budgeting process in UNSOA	Partially satisfactory	–	4
	AP2011/638/07	14 September 2011	Audit of aviation safety in UNSOA (horizontal audit)	Satisfactory	–	–

C. Reports to programme managers issued by the Investigation Division, excluding closure reports

Entity	*Assignment number*	*Issue date*	*Report subject*
DFS	0011/11 0012/11	29 July 2011 12 August 2012	Contingent report on a breach of the Convention on International Trade in Endangered Species of Wild Fauna and Flora by peacekeepers in UNMIS
	0013/11	12 July 2011	Investigation report on illegal outside activity, child neglect and non-honouring of private legal obligations by a staff member at MINUSTAH
	0032/10	17 April 2012	Investigation report on procurement irregularities, conflict of interest and unauthorized outside activity by a staff member at UNMIT
	0038/11	11 July 2011	Investigation report on sexual exploitation and abuse of minors by a United Nations police officer at MINUSTAH
	0043/09	25 August 2011	Contingent report on sexual exploitation and abuse of a minor by peacekeepers at the former MONUC
	0076/11	5 January 2012	Contingent report on odometer manipulation by peacekeepers at MONUSCO
	0094/11, 0095/11, 0096/11, 0097/11, 0098/11, 0099/11, 0100/11	6 July 2011	Investigation report on dental insurance fraud by staff members at MINUSTAH
	0108/09	5 January 2012	Contingent report on sexual exploitation by a peacekeeper at the former MONUC
	0112/10, 0114/10, 0115/10	25 October 2011	Contingent report on sexual exploitation and abuse of a minor by peacekeepers at the former MONUC
	0116/10	29 February 2012	Contingent report on sexual exploitation and abuse implicating peacekeepers at the former MONUC
	0121/11	29 December 2011	Investigation report on an assault by a member of a formed police unit at MINUSTAH

Entity	*Assignment number*	*Issue date*	*Report subject*
	0122/11	26 January 2012	Investigation report on bribery at UNMIL
	0135/08	9 November 2011	Investigation report on sexual exploitation and abuse implicating a military observer at the former MONUC
	0141/11	29 February 2012	Investigation report on fraud by an official of the National Staff Association at UNMIL
	0145/10	12 August 2011	Contingent report on sexual exploitation and abuse by peacekeepers at UNOCI
	0154/11	25 October 2011	Contingent report on the fatal shooting of a Sudanese national by a peacekeeper at UNAMID
	0160/11	9 November 2011	Contingent report on misconduct by peacekeepers at UNMIL
	0167/11	31 May 2012	Investigation report on misuse of information and communication technology resources by a staff member at UNIFIL
	0176/11	29 December 2011	Contingent report on the suspicious death of a peacekeeper at UNIFIL
	0184/11	25 October 2011	Contingent report on the attempted sale of military uniforms by a peacekeeper at the former UNMIS
	0188/08	25 August 2011	Investigation report on misuse of office and misuse of information and communications technology resources by a staff member at the United Nations Logistics Base in Entebbe
	0195/09	29 December 2011	Contingent report on the sexual exploitation and abuse of a minor at the former MONUC
	0206/12	5 June 2012	Investigation report on serious misconduct by Formed Police Unit personnel at MONUSCO
	0213/08	30 March 2012	Contingent report on misconduct by peacekeepers at the former MONUC
	0218/10	25 January 2012	Contingent report on the possible theft of fuel by peacekeepers at UNIFIL
	0223/09	9 November 2011	Contingent report on sexual exploitation by a peacekeeper at the former MONUC
	0228/08	29 July 2011	Contingent report on sexual exploitation and abuse of a minor by a peacekeeper at the former MONUC

Entity	*Assignment number*	*Issue date*	*Report subject*
	0230/11	29 December 2011	Contingent report on the fatal shooting of two peacekeepers at UNAMID
	0261/10, 0262/10	29 February 2012	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	0273/10	24 February 2012	Contingent report on the abduction of a Sudanese female by a peacekeeper at UNAMID
	0275/11	31 May 2012	Contingent report on possible misconduct by peacekeepers at MONUSCO
	0286/09	7 February 2012	Investigation report on international trafficking of forged Dominican Republic passports by a staff member at MINUSTAH
	0298/10	25 October 2011	Investigation report on possible sexual exploitation by a military observer at the former UNMIS
	0315/10	29 December 2011	Contingent report on attempted fraud by peacekeepers at UNOCI
	0323/10, 0524/10	7 September 2011 22 September 2011	Contingent report on possible fraud by peacekeepers at UNOCI
	0345/09	25 October 2011	Contingent report on sexual exploitation by peacekeepers at the former MONUC
	0354/11	30 March 2012	Contingent report on forgery and attempted fraud by a peacekeeper at UNOCI
	0362/11	30 March 2012	Contingent report on the sexual exploitation and abuse of a minor by a peacekeeper at MONUSCO
	0370/10	29 July 2011	Contingent report on sexual exploitation and abuse by a peacekeeper at UNMIL
	0372/09	25 October 2011	Contingent report on sexual exploitation implicating unknown peacekeepers at the former MONUC
	0383/10	25 October 2011	Contingent report on the physical assault and detention of a United Nations staff member by peacekeepers at the former UNMIS
	0395/11	29 February 2012	Investigation report on mineral trafficking by a staff member at MONUSCO
	0405/11	28 March 2012	Contingent report on sexual abuse by a peacekeeper at MONUSCO

Entity	*Assignment number*	*Issue date*	*Report subject*
	0406/11	30 March 2012	Investigation report on misconduct by Formed Police Unit officers at MONUSCO
	0416/11	16 May 2012	Investigation report on conflict of interest and corrupt practices by a former staff member at UNIOGBIS
	0433/10	26 January 2012	Investigation report on sexual abuse by a staff member at UNMIL
	0447/11	31 May 2012	Contingent report on sexual exploitation by a contingent member at MONUSCO
	0459/10	29 December 2011	Contingent report on fraud implicating peacekeepers at the former MONUC
	0473/10	17 April 2012	Investigation report on the sexual exploitation and abuse of a minor at the former MONUC
	0486/10	29 February 2012	Contingent report on illegal trade in precious metals and firearms by peacekeepers at MONUSCO
	0493/10	25 June 2012	Investigation report on the misappropriation of training funds by a United Nations police officer at the former UNMIS
	0550/09	30 December 2011	Report of possible serious misconduct by a United Nations police officer at MINUSTAH
	0565/09	25 October 2011	Contingent report on the fatal shooting of a peacekeeper at UNAMID
	0567/10	29 December 2011	Investigation report on the fraudulent procurement of an entry visa and misuse of information and communication resources by a staff member at UNMIS
	0570/10	5 January 2012	Contingent report on sexual exploitation and abuse and other misconduct by peacekeepers at UNMIL
	0571/10	25 August 2011	Contingent report on fuel fraud by peacekeepers at UNMIL
	0642/11	30 March 2012	Contingent report on the alleged rape of a minor by peacekeepers at MONUSCO
DM	0093/10	29 December 2011	Investigation report on conflict of interest and favouritism by a staff member at United Nations Headquarters

Entity	*Assignment number*	*Issue date*	*Report subject*
	0538/10	25 October 2011	Investigation report on outside activities by a former staff member in the Department of Management
DPA	0037/11	23 April 2012	Investigation report on abuse of authority by a staff member at UNPOS
DPI	0278/11	26 January 2012	Investigation report on embezzlement by a staff member at the United Nations Information Centre
	0285/11	9 November 2011	Investigation report on misrepresentations by a staff member at the Office of the Spokesperson for the Secretary-General
DSG	0454/10	25 October 2011	Investigation report on misconduct by a staff member serving as an officer of the United Nations Staff Union
DSS	0248/11	31 January 2012	Investigation report on attendance irregularities, violation of policy and inappropriate behaviour by a staff member in the Department of Safety and Security
	0518/08	31 January 2012	Investigation report on attendance irregularities, violation of policy, conflict of interest and misuse of information and communications technology resources by a staff member in the Department of Safety and Security
EOSG	0214/10	11 April 2012	Investigation report on procurement irregularities by a staff member at UNOPS
ESCWA	0074/11, 0075/11	29 February 2012 6 February 2012	Investigation report on reported misconduct by a staff member at ESCWA
OIOS	0350/10	29 December 2011	Investigation report on unauthorized access and use of information and communications technology resources by a staff member in OIOS
UNCTAD	0085/11	26 January 2012	Investigation report on conflict of interest and procurement irregularities at UNCTAD
UNDP	0412/11	26 January 2012	Investigation report on assault by a United Nations Volunteer at UNMIL
UNEP	0348/11	30 March 2012	Investigation report on theft and forgery by a staff member at UNEP

Entity	*Assignment number*	*Issue date*	*Report subject*
UNFCCC	0119/11	25 October 2011	Investigation report on sexual harassment and abuse of authority by a former staff member at the United Nations Framework Convention on Climate Change
UNICEF	0432/11	15 June 2012	Investigation report on medical insurance fraud by a former staff member of UNICEF
UNOG	0560/10	30 September 2011	Investigation report on Internet access of possible child pornography by a non-staff member at the United Nations Office at Geneva
UNON	0139/09	29 December 2011	Investigation report on engagement in outside activities by a staff member at the United Nations Office at Nairobi
UNOV	0118/11	5 June 2012	Investigation report on misuse of information and communications technology resources and misrepresentation of official functions by a staff member at the United Nations Office at Vienna

D. Reports to programme managers: closure reports issued by the Investigations Division

Entity	*Assignment number*	*Issue date*	*Report subject*
DFS	0732/06, 0461/06	9 September 2011	Procurement irregularities at UNMIS
	0009/11	29 June 2012	Bribery by a staff member at UNAMID
	0046/11	15 December 2011	Fraudulent certifications by a staff member in the Department of Peacekeeping Operations
	0088/09	22 December 2011	Misconduct by a staff member at the former MONUC
	0101/10	6 January 2012	Advance fee fraud and fraudulent use of the United Nations name and logo at the former MONUC
	0182/09	6 January 2012	Sexual exploitation and abuse of a minor at the former MONUC
	0182/11	19 October 2011	Unauthorized possession of United Nations property at UNAMID
	0185/08	28 November 2011	Alleged rape of a minor by unidentified personnel at the former MONUC

Entity	*Assignment number*	*Issue date*	*Report subject*
	0198/07	1 November 2011	Fraud by an official of the National Staff Association at UNMIL
	0224/11	25 June 2012	Extortion by a staff member at BINUCA
	0292/10	29 February 2012	Possible misuse of telecommunication resources by a staff member at UNAMA
	0325/09	25 October 2011	Possible fuel theft by peacekeepers at the former MONUC
	0332/10	21 December 2011	Rape of a minor in MONUSCO
	0353/09	30 November 2011	Misrepresentation by a staff member at the former UNMIS
	0354/10	9 September 2011	Failure to honour financial obligations and entitlement fraud by a staff member at UNSOA
	0364/09	28 March 2012	Sexual abuse of a minor by a staff member at the former MONUSCO
	0408/09	16 March 2012	Sexual exploitation and abuse by a staff member, now deceased, at UNMIL
	0412/10	28 March 2012	Possible procurement irregularities by staff members at the International Independent Investigation Commission
	0414/07	15 December 2011	Retaliation by a staff member at the former MONUC
	0421/08	16 February 2012	Possible assault implicating a United Nations staff member at the former MONUC
	0458/07	15 December 2011	Reported attempt to solicit a bribe by a staff member at UNMIS
	0505/09	14 February 2012	Arms trafficking and attempted insurrection at the former MONUC
	0506/10	29 July 2011	Misuse of United Nations Laissez-Passer at UNAMA
	0507/10	9 September 2011	Travel irregularities concerning a staff member at UNAMID
	0509/10	15 December 2011	Reported sexual exploitation and abuse by a staff member at UNOCI
	0517/10	29 February 2012	Alleged violation of procurement rules by a staff member at UNAMI
	0519/10	22 December 2011	Sexual abuse at MONUSCO

Entity	*Assignment number*	*Issue date*	*Report subject*
	0544/09	21 December 2011	Possible forgery of a certificate to procure weapons at the Department of Peacekeeping Operations
	0553/10	28 November 2011	Disclosure of confidential information by a staff member at UNIPSIL
	0597/11	26 June 2012	Procurement irregularities by staff members at UNMIL
	0624/08	25 June 2012	Misconduct by a United Nations Volunteer at the former MONUC
	0309/09	17 February 2012	Sexual abuse implicating a United Nations Volunteer at the former UNMIS
DM	0036/11	3 November 2011	Abuse of position by a staff member in the Department of Management
	0075/09	15 December 2011	Irregular bids and collusive practices by United Nations vendors
	0415/10	15 December 2011	Unauthorized outside occupation in the Office of Central Support Services
ECA	0059/09	21 June 2012	Procurement irregularities at ECA
ESCAP	0440/10	11 July 2011	Procurement irregularities by a staff member at ESCAP
OCHA	0156/10, 0172/11	29 July 2011	Reported misconduct by a former staff member at the Office for the Coordination of Humanitarian Affairs
OHCHR	0081/10	22 December 2011	Misconduct by a staff member at OHCHR
UNOG	0400/11	4 May 2012	Possible medical claims fraud by a staff member at the United Nations Office at Geneva
UNON	0060/09	5 October 2011	Procurement irregularities by a staff member at the United Nations Office at Nairobi
	0419/11	20 June 2012	Fraud by a staff member at the United Nations Office at Nairobi
	0432/10	29 July 2011	Assault by a staff member at the United Nations Office at Nairobi
	0455/11	25 June 2012	Procurement irregularities at the United Nations Office at Nairobi

E. Reports to programme managers issued by the Inspection and Evaluation Division

Entity	*Assignment number*	*Issue date*	*Report subject*
DESA	IED-11-012	21 July 2011	Report of OIOS on the evaluation of the executive direction and management function
Secretariat	IED-COM-12-001	24 January 2012	Programme performance documentation status: key Integrated Monitoring and Documentation Information System records as of 18 January 2012
Secretariat	IED-COM-11-002	25 July 2011	Programme performance documentation status: key Integrated Monitoring and Documentation Information System records as of 15 July 2011